



# Gujarat Alkalies and Chemicals Limited

(Promoted by Govt. of Gujarat)

Regd. Office & Works : P.O. Petrochemicals - 391 346, Dist. Vadodara(Gujarat) INDIA

Phone : +91-265-2232681, 3061200 Fax : +91-265-2232130

Website : www.gacl.com CIN NO : L24110GJ1973PLC002247

Ref.: SEC/SE/2021

19<sup>th</sup> June, 2021

The General Manager Corporate Relation Department BSE Ltd. 1 <sup>st</sup> Floor, New Trading Ring Phiroze Jeejeebhoy Towers Dalal Street MUMBAI : 400 001 Company Code No.: 530001	The General Manager Listing Department National Stock Exchange of India Ltd. "Exchange Plaza", C-1, Block- 'G' Bandra-Kurla Complex Bandra (East) MUMBAI : 400 051 Company Code No.: GUJALKALI
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Dear Sir/Madam,

**Reg.: Annual Secretarial Compliance Report for the Financial Year ended on 31<sup>st</sup> March, 2021.**

In compliance of Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. : CIRICFDICMDI1/2712019 dated 8<sup>th</sup> February, 2019, we are enclosing herewith Annual Secretarial Compliance Report dated 17<sup>th</sup> June, 2021, for the Financial Year ended on 31<sup>st</sup> March, 2021, issued by M/s. Samdani Shah & Kabra, Practicing Company Secretaries.

We request you to kindly take the above on record.

Thanking you,

Yours faithfully,

For GUJARAT ALKALIES AND CHEMICALS LIMITED

  
(S S BHATT)  
COMPANY SECRETARY &  
CHIEF GENERAL MANAGER (LEGAL & CC)

Encl. as above



Dahej Complex : P.O. Dahej - 392130. Tal. Vagra, Dist. Bharuch (Gujarat) INDIA

Phone : +91-2641-256315/ 6 /7. Fax : +91-2641-256220.

**Secretarial Compliance Report**  
for the Financial Year ended March 31, 2021  
[Pursuant to Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations,  
2015]

We, Samdani Shah & Kabra, Company Secretaries, have examined (a) all the documents and records made available to us physically/electronically and explanation provided by **Gujarat Alkalies and Chemicals Limited** having CIN: L24110GJ1973PLC002247 ("Listed Entity"), (b) the filings / submissions made by the Listed Entity to the Stock Exchanges, (c) Website of the Listed Entity, (d) any other document / filing, as may be relevant, which has been relied upon to make this certification, for the Financial Year ended March 31, 2021 ("Review Period") in respect of compliance with the provisions of:

- A. The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, Circulars, Guidelines issued there under; and
- B. The Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made there under and the Regulations, Circulars, Guidelines issued there under by the Securities and Exchange Board of India ("SEBI");

The specific Regulations, whose provisions and the Circulars / Guidelines issued there under, have been examined for the review period, include: -

- a. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018, however, the same was not applicable;
- c. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d. Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018, however, the same was not applicable;
- e. Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014, however, the same was not applicable;
- f. Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008, however, the same was not applicable;
- g. Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013, however, the same was not applicable;
- h. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- i. Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018;
- j. The Securities and Exchange Board of India (Registrar to an Issue and Share Transfer Agents) Regulation, 1993;

The Listed Entity has also complied with various Circulars / Guidelines issued under above Regulations, including Clauses 6(A) and 6(B) of SEBI Circular No. CIR/CFD/CMD1/114/2019 dated October 18, 2019; and based on the above examination and considering the relaxations granted by the Ministry of Corporate Affairs (MCA)/ Securities and Exchange Board of India (SEBI) warranted due to the spread of COVID-19 pandemic, we hereby report that, during the Review Period:

- i. The Listed Entity has complied with the provisions of the above Regulations and Circulars / Guidelines issued there under, except in respect of matters specified below: -

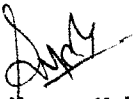
Sr. No.	Compliance Requirement (Regulations / Circulars / Guidelines / including specific clause)	Deviations	Observations / Remarks of the Practicing Company Secretary
Nil			

- ii. The Listed Entity has maintained proper records under the provisions of the above Regulations and Circulars / Guidelines issued there under in so far as it appears from our examination of those records.
- iii. The following are the details of actions taken against the Listed Entity / its promoters / directors / material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts / Regulations and Circulars / Guidelines issued there under:

Sr. No.	Action taken by	Details of violation	Details of action taken E.g. Fines, Warning Letter, Debarment, etc	Observations of the Practicing Company Secretary, if any.
Nil				

- iv. The Listed Entity has taken the following actions to comply with the observations made in previous reports:

Sr. No.	Observations of the Practicing Company Secretary in previous reports	Observations made in the Secretarial Compliance Report for the year ended March 31, 2020	Actions taken by the Listed Entity, if any	Comments of the Practicing Company Secretary on the actions taken by the Listed Entity.
Nil				

  
**Suresh Kumar Kabra**  
Partner  
**Samdani Shah & Kabra**  
Company Secretaries  
PR Certificate No.: 1079/2021  
ACS No.: 9711; CP No.: 9927  
UDIN: A009711C000478784  
Place: Vadodara, Date: June 17, 2021



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